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U.S. APPLICATION NUMBER NO	FIRST NAMED APPLICANT	ATT	Y DOCKET NO	
09/647,749	Candace Pert 11496		496/9-1052	
		INTERNATIONAL AI	INTERNATIONAL APPLICATION NO	
	•	PCT/US99/07514		
		LA FILING DATE	PRIORITY DATE	
William J Sapone	'	04/06/1999	04/06/1998	

William J Sapone Coleman Sudol Sap 708 Third Avenue New York, NY 10017

CONFIRMATION NO. 3948
371 FORMALITIES LETTER

\*OC000000006859898\*

Date Mailed: 10/09/2001

## NOTIFICATION OF DEFECTIVE RESPONSE

- The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):
  - U.S. Basic National Fee
  - Priority Document
  - Biochemical Sequence Listing
  - · Copy of IPE Report
  - Copy of the International Application
  - Copy of the International Search Report
  - Oath or Declaration

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason (s):
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
  - APPLICANT MUST PROVIDE:
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase Patentin Software, call (703) 306-2600
  - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended up to a maximum of six months.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

## BARBARA A CAMPBELL

Telephone: (703) 305-3631

## PART 2 - OFFICE COPY

US APPLICATION NUMBER NO	INTERNATIONAL APPLICATION NO	ATTY DOCKET NO
09/647,749	PCT/US99/07514	11496/9-1052